

GARFIELD COUNTY

DEPARTMENT OF HUMAN SERVICES

BOARD OF HUMAN SERVICES REPORT

Tuesday, February 18, 2025

I. EFT/EBT Disbursement

For the month of January 2025 client and provider disbursements for allocated programs totaled \$400,713.98. Client benefits for Food Assistance and LEAP totaled \$742,018.77. Total EFT/EBT disbursements for January came to \$1,142,732.75.

A copy of the certification summary has been included in the Board packet and the Department is requesting Board approval and signature.

II. Consideration and Signature Approval of the Agreement between The Regents of the University of Colorado, a body corporate, acting on behalf of the University of Colorado Boulder and Board of County Commissioners of Garfield County, Colorado.

This agreement outlines the relationship between the University of Colorado and the Department of Human Services for activities to include a community assessment on evidence based therapeutic models, training for professional individuals in the community on identified gaps, and evaluation of the intervention. The contract period is January 1, 2025 through September 30, 2029. The total amount of the agreement is \$37,144.48.

III. Consideration and Signature Approval of the Intergovernmental Agreement between the Board of County Commissioners of Rio Balance County, Coloardo and the Board of County Commissioners of Garfield County, Colorado.

This agreement outlines the terms and conditions of services to be rendered by Garfield County DHS for the purposes of fulfilling Rio Blanco's obligation to provide Child Support services to the citizens of Rio Balance County. The effective date is January 1, 2025 through December 23, 2025. The not to exceed amount is \$40,000.00. This contract amount covers all cost to incurred by Garfield County for this service.

IV. Program Updates

Program reports are attached for the Board's review.

Please be aware these reports continue to be updated and refined.

Respectfully Submitted By
Sharon Longhurst Pritt
DHS Director

**GARFIELD COUNTY
DEPARTMENT OF HUMAN SERVICES**

This is to certify that the payments, as set forth below, are payments made on behalf of the respective programs opposite their names and totaling as indicated for the period so noted.

Disbursement Period: **January 2025**

Total EFT/EBT Disbursements: **\$1,142,732.75**

ALLOCATED PROGRAMS

ELIGIBILITY

Aid to Needy Disabled	5,571.76
Basic Cash Assistance - TANF	84,200.14
Child Care Assistance Program	162,691.64
Food Assistance Job Search - EF	7,328.86
Home Care Allowance	0.00
Old Age Pension	32,060.17
	<u>\$291,852.57</u>

CHILD WELFARE

Child Welfare Related Child Care	4,286.18
Core Services	11,604.74
Out of Home Placements	79,112.11
Subadopt and Relative Guardianship	13,858.38
	<u>\$108,861.41</u>

NON-ALLOCATED PROGRAMS

Food Assistance and Benefits	726,278.66
LEAP	15,740.11
	<u>\$742,018.77</u>

Signed:

Tom Jankovsky, BOCC Chairman Date
Garfield County Board of Human Services

Sharon Longhurst Pritt Digitally signed by Sharon Longhurst Pritt
Date: 2025.02.11 08:24:11 -07'00'

Sharon Longhurst-Pritt, DHS Director Date

Jade Flowers Digitally signed by Jade Flowers
Date: 2025.02.10 12:09:21 -07'00'

Jade Flowers, DHS Accountant Date

**GARFIELD COUNTY
DEPARTMENT OF HUMAN SERVICES
PROGRAM EFT/EBT DISBURSEMENTS**

2025	January	February	March	April	May	June	July	August	September	October	November	December	Average
Aid to Needy Disabled	5,571.76												5,571.76
Basic Cash Assistance - TANF	84,200.14												84,200.14
Child Care Assistance Program	162,691.64												162,691.64
Food Assistance Job Search- EF	7,328.86												7,328.86
Home Care Allowance	0.00												0.00
Old Age Pension	32,060.17												32,060.17
Child Welfare Related Childcare	4,286.18												4,286.18
Core Services	11,604.74												11,604.74
Out of Home Placements	79,112.11												79,112.11
Subadopt and Relative Guardianship	13,858.38												13,858.38
Food Assistance and Benefits	726,278.66												726,278.66
LEAP	15,740.11												15,740.11
Total	1,142,732.75	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,142,732.75

2024	January	February	March	April	May	June	July	August	September	October	November	December	Average
Aid to Needy Disabled	5,854.00	5,662.85	4,757.58	5,899.68	5,516.35	6,591.83	5,252.49	5,516.39	5,508.67	5,743.34	6,437.07	5,396.09	5,678.03
Basic Cash Assistance - TANF	58,830.18	58,097.09	62,673.16	67,088.37	84,782.63	63,263.42	65,374.08	70,148.03	71,601.15	85,432.22	71,864.89	54,019.03	67,764.52
Child Care Assistance Program	85,741.67	144,394.81	112,625.76	102,944.12	154,716.68	104,163.60	84,772.29	115,920.01	101,492.10	143,664.98	114,791.81	113,273.17	114,875.08
Food Assistance Job Search- EF	3,132.00	764.45	5,911.83	5,346.56	5,969.44	7,274.00	11,542.15	7,721.17	11,969.00	14,429.96	8,792.80	4,995.03	7,320.70
Home Care Allowance	0.00	0.00	0.00	-1,330.00	0.00	0.00	0.00	-1.04	0.00	0.00	0.00	0.00	-110.92
Old Age Pension	19,909.76	23,598.06	18,026.38	22,015.30	23,009.85	97,918.79	25,496.85	25,894.67	25,472.73	40,204.05	32,749.82	38,204.84	32,708.43
Child Welfare Related Childcare	1,123.41	720.31	1,727.41	2,821.86	3,361.76	2,766.30	3,453.63	4,328.80	2,432.10	3,528.60	5,463.99	4,028.16	2,979.69
Core Services	12,506.88	12,164.01	24,316.33	16,072.08	22,809.33	13,022.94	13,839.29	16,265.68	13,615.29	18,587.94	15,632.48	14,739.32	16,130.96
Out of Home Placements	36,843.30	33,740.80	32,731.57	43,499.57	48,215.01	44,935.81	51,148.59	61,872.99	60,050.16	48,512.36	54,607.16	53,197.63	47,446.25
Subadopt and Relative Guardianship	12,719.30	14,401.80	11,800.10	12,566.47	12,161.10	13,145.02	12,851.40	13,279.78	14,351.58	12,851.40	13,871.53	15,310.84	13,275.86
Food Assistance and Benefits	697,113.67	699,488.67	709,345.01	609,824.58	703,496.16	708,300.90	1,616,672.10	705,992.09	727,018.21	721,973.13	710,759.66	714,014.22	776,999.87
LEAP	23,021.38	93,347.83	25,431.16	16,043.16	16,621.81	3,143.13	0.00	0.00	0.00	0.00	41,613.91	20,283.90	29,938.29
Total	956,795.55	1,086,380.68	1,009,346.29	902,791.75	1,080,660.12	1,064,525.74	1,890,402.87	1,026,938.57	1,033,510.99	1,094,927.98	1,076,585.12	1,037,462.23	1,115,006.75

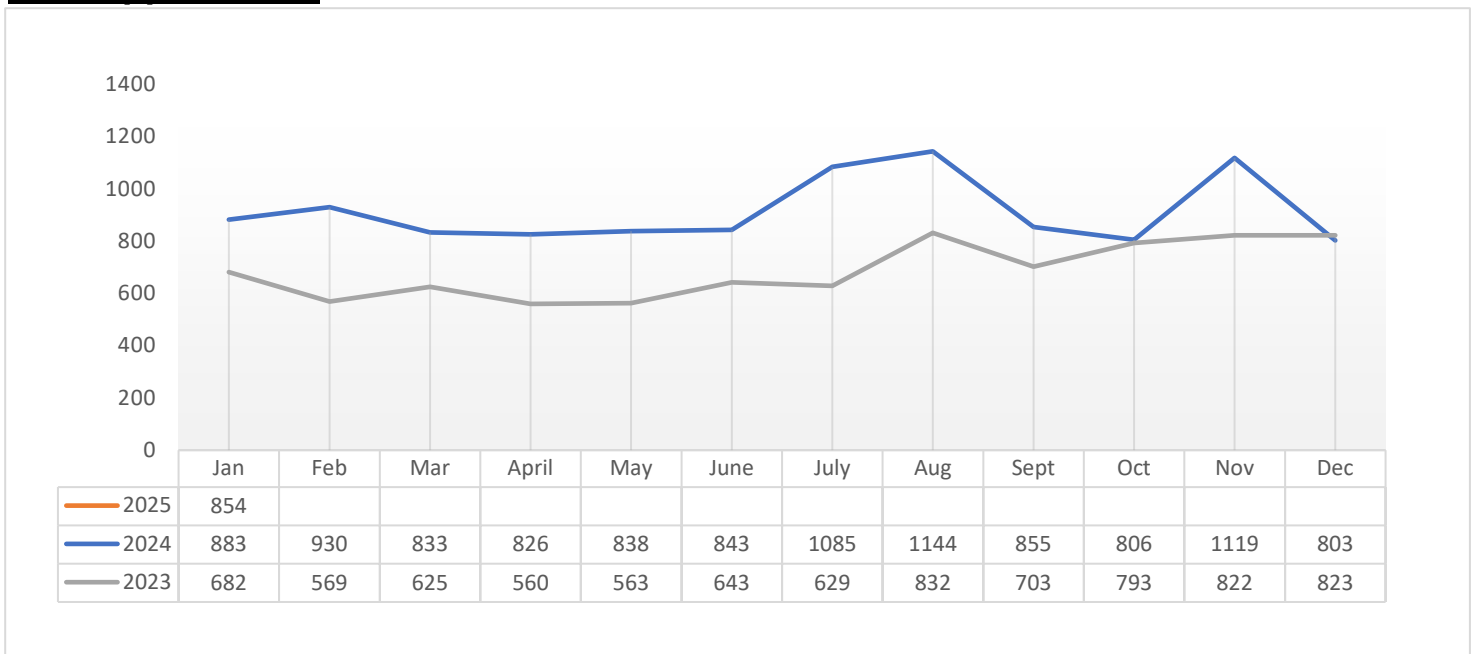
2023	January	February	March	April	May	June	July	August	September	October	November	December	Average
Aid to Needy Disabled	6,290.71	7,373.90	5,620.20	6,312.46	7,041.24	6,066.56	5,838.09	5,679.95	6,155.04	7,318.91	5,868.00	5,744.80	6,275.82
Basic Cash Assistance - TANF	44,149.18	46,221.36	49,421.95	45,116.55	64,196.38	50,845.72	44,722.82	60,578.92	40,218.79	38,213.53	47,095.93	44,058.50	47,903.30
Child Care Assistance Program	54,142.74	68,369.02	86,368.28	68,365.93	72,538.16	85,869.97	64,558.96	77,547.79	74,259.15	89,754.22	120,369.90	106,342.84	80,707.25
Food Assistance Job Search- EF	500.00	437.26	674.84	641.00	1,172.00	675.00	99.74	0.00	245.00	678.95	200.00	3,520.00	736.98
Home Care Allowance	1,274.00	798.75	339.15	-10.00	330.00	785.00	1,205.00	0.00	0.00	0.00	0.00	-17.89	392.00
Old Age Pension	21,111.11	21,596.89	20,192.36	19,641.71	20,980.93	80,175.39	21,016.65	24,797.16	19,136.14	44,686.31	21,013.42	21,023.25	27,947.61
Child Welfare Related Childcare	1,172.96	1,809.58	2,268.21	1,759.25	2,169.42	3,919.29	760.00	1,292.90	1,104.30	1,155.12	1,254.03	1,013.84	1,639.91
Core Services	11,248.33	9,671.17	13,184.33	12,959.33	13,629.33	13,501.33	12,223.33	15,298.33	15,108.33	23,057.08	13,913.33	10,372.08	13,680.53
Out of Home Placements	31,552.54	28,729.03	28,543.12	34,323.38	32,931.60	38,659.40	29,535.00	31,460.60	41,624.93	34,503.92	46,858.08	40,904.42	34,968.84
Subadopt and Relative Guardianship	14,374.28	12,856.94	11,428.48	12,353.14	13,160.79	12,373.33	12,190.50	12,596.85	13,680.14	11,671.04	12,466.00	12,203.72	12,612.93
Food Assistance and Benefits	1,169,532.68	1,132,801.03	719,407.08	683,385.77	670,937.02	659,940.83	1,016,329.39	652,433.12	624,849.93	675,630.24	667,060.09	674,236.66	778,878.65
LEAP	23,080.64	62,664.83	53,377.76	17,975.33	11,855.55	65,806.44	0.00	0.00	0.00	-0.43	43,272.56	20,776.86	37,351.25
Total	1,378,429.17	1,393,329.76	990,825.76	902,823.85	910,942.42	1,018,618.26	1,208,479.48	881,685.62	836,381.75	926,668.89	979,371.34	940,179.08	1,043,095.07

January 2025

Economic Security Snapshot

- 854 New Applications
 - 6% increase in applications from last month
 - 3% decrease from applications received last year
- 4157 SNAP Clients
- 10,904 Health First Colorado - Colorado's Medicaid Clients
- 0 Clients locked in to ongoing Health First Colorado, regardless of eligibility. PHE unwind started in May 2023 to determine current eligibility
- 38 Active Employment First Cases

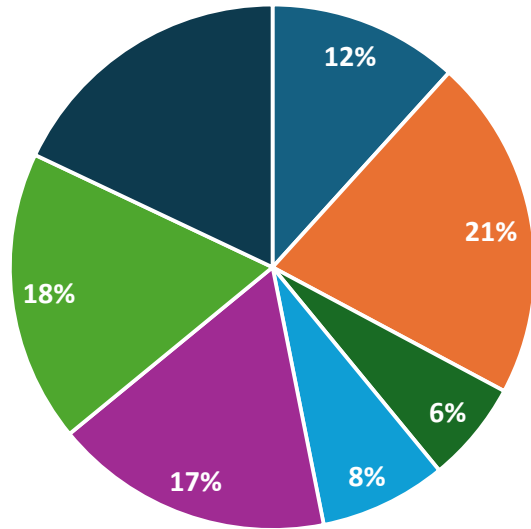
New Applications



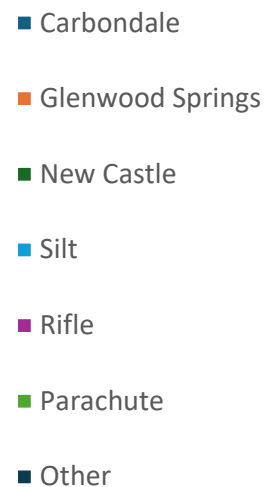
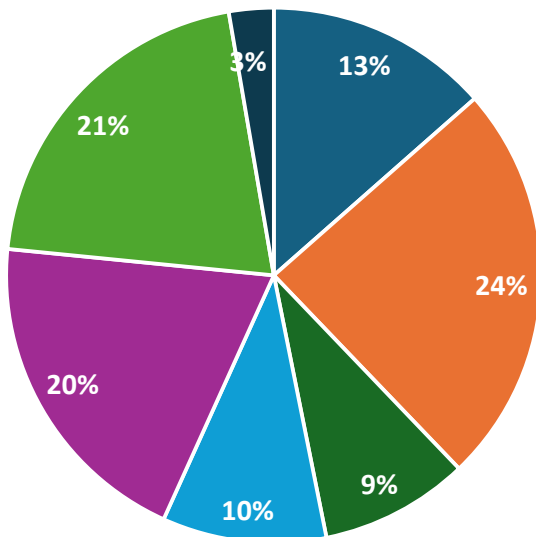
Economic Security

Program/Client Count/Excludes Basalt and DeBeque

Programs Per Household								
	Carbondale	Glenwood Springs	New Castle	Silt	Rifle	Parachute	Other	Total
Adult Financial	15	27	8	10	22	23	23	128
TANF/Co Works	4	7	7	7	24	18	10	77
Food Assistance	222	483	218	186	648	473	186	2416
Medical Assistance	836	1700	710	607	1956	1056	428	7293
TOTAL	1077	2217	943	810	2650	1570	647	9914



Total Individuals								
	Carbondale	Glenwood Springs	New Castle	Silt	Rifle	Parachute	Other	TOTAL
Adult Financial	15	27	10	11	22	23	3	111
TANF/Co Works	9	17	18	26	66	52	10	198
Food Assistance	367	794	391	354	1299	1002	0	4207
Medical Assistance	1323	1648	1270	1147	3739	2111	334	11572
TOTAL	1714	2486	1689	1538	5126	3188	347	16088



Medicaid Member Caseload by County
Colorado Department of Health Care Policy and Financing
Medicaid Caseload Without Retroactivity By County
Reporting Month Ending on 01/31/2025

Age Group	Total Member Count by Age Group
Members Age 20 and Under (EPSDT)	470,546
Members Age 21 and Over	662,122
Total Members - All Ages	1,132,668

	Members Age 20 and Under (EPSDT)	Members Age 21 and Over	Total Member Count (All Ages)
	Member Count by Age Group	Member Count by Age Group	
Adams	63,907	68,806	132,713
Alamosa	2,480	3,456	5,936
Arapahoe	58,577	73,717	132,294
Archuleta	1,206	1,790	2,996
Baca	471	587	1,058
Bent	565	973	1,538
Boulder	15,009	27,467	42,476
Broomfield	3,014	4,490	7,504
Chaffee	1,065	2,234	3,299
Cheyenne(CO)	235	263	498
Clear Creek	309	830	1,139
Conejos	1,112	1,532	2,644
Costilla	579	1,117	1,696
Crowley	425	774	1,199
Custer	356	583	939
Delta	2,953	4,406	7,359
Denver	68,984	113,808	182,792
Dolores	209	319	528
Douglas	11,387	15,860	27,247
Eagle	2,682	3,037	5,719
El Paso	62,906	85,542	148,448
Elbert	1,283	1,563	2,846
Fremont	4,037	7,344	11,381
Garfield	5,375	5,529	10,904
Gilpin	291	721	1,012
Grand	676	1,045	1,721
Gunnison	812	1,499	2,311
Hinsdale			135
Huerfano	720	1,549	2,269
Jackson			204
Jefferson	28,098	48,186	76,284
Kiowa	209	232	441

Medicaid Member Caseload by County
Colorado Department of Health Care Policy and Financing
Medicaid Caseload Without Retroactivity By County
Reporting Month Ending on 01/31/2025

	Members Age 20 and Under (EPSDT)	Members Age 21 and Over	Total Member Count (All Ages)
	Member Count by Age Group	Member Count by Age Group	
Kit Carson	801	837	1,638
La Plata	3,673	6,214	9,887
Lake	558	762	1,320
Larimer	21,456	35,791	57,247
Las Animas	1,582	2,844	4,426
Lincoln	519	698	1,217
Logan	1,771	2,718	4,489
MEDASSISTSIT			
Mesa	14,903	21,552	36,455
Mineral			154
Moffat	1,216	1,616	2,832
Montezuma	3,161	4,706	7,867
Montrose	4,303	5,837	10,140
Morgan	3,686	3,762	7,448
Otero	2,677	3,713	6,390
Ouray	185	386	571
Park	861	1,841	2,702
Phillips	379	480	859
Pitkin	305	736	1,041
Prowers	1,782	2,210	3,992
Pueblo	23,008	35,141	58,149
Rio Blanco	504	599	1,103
Rio Grande	1,331	2,104	3,435
Routt	765	1,277	2,042
Saguache	783	1,350	2,133
San Juan(CO)			123
San Miguel	277	527	804
Sedgwick	213	384	597
Summit	1,241	2,029	3,270
Teller	1,532	2,779	4,311
Unknown			
Washington	518	606	1,124
Weld	35,200	37,817	73,017
Yuma	1,210	1,141	2,351

Source for all caseload data provided is the BIDM table: Client Monthly Snapshot

* Data have been suppressed for select counties with smaller populations per the Department's threshold rule to comply with HIPAA

Economic Security Fraud Investigations 2025

Investigations

<u>Beginning</u>	<u>Referrals</u>	<u>Declined</u>	<u>Closed</u>	<u>Ending</u>
773	8	0	2	779

Closed Case Disposition Totals 2024

<u>Unfounded</u>	<u>Admin Error</u>	<u>Client Error</u>	<u>IPV/Waiver</u>	<u>Criminal</u>
5	0	195	40	0

Disposition and Claims by Month

Month	<u>Unf</u>	<u>AE</u>	<u>CE</u>	<u>IPV</u>	<u>Crim</u>	<u>Claims</u>	<u>Retention</u>	<u>Savings</u>
January	0	0	2	0	0	\$4,237.00	\$847.40	
February								
March								
April								
May								
June								
July								
August								
September								
October								
November								
December								
TOTAL:						\$4,237.00	\$847.40	

2024

Total Retention + Savings: \$216,055.94

Retention: The County Retention of Collections is a calculation of the Portion of Collections multiplied by the retention amount that the State will reimburse Garfield County.

Savings: The estimated amount not issued because error/fraud was corrected for the current certification period.

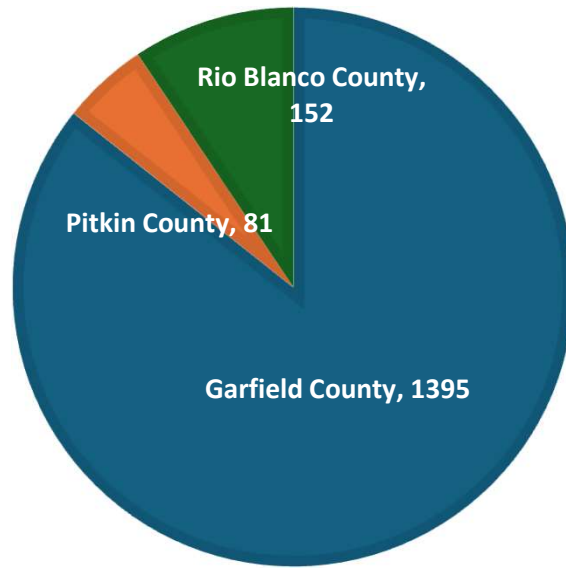
CLAIMS: 2024

Total Claims	FA	MA*	TANF	AF	CCAP
\$614,699.57	\$0.00	\$0.00	\$33,393.57	\$0.00	\$0.00

*per Operational Memo 23-046 Medical Assistance Overpayments may no longer be established

Child Support Services

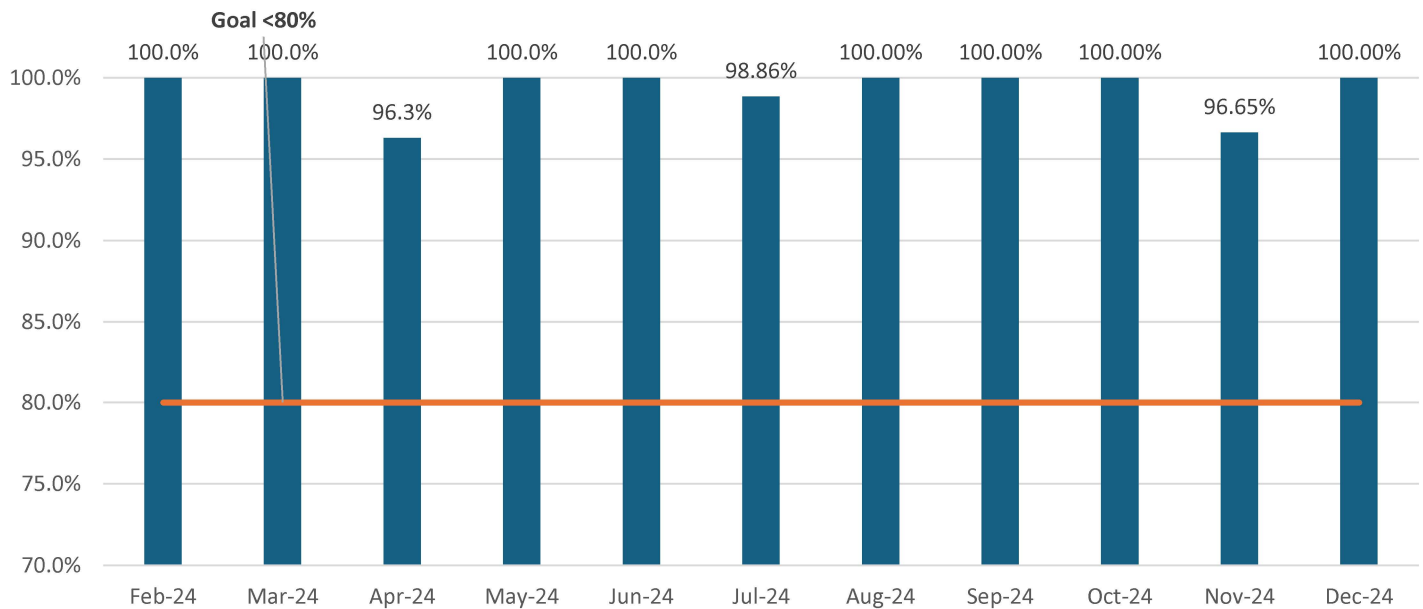
CURRENT CASELOAD SIZE



CASE INITIATIONS

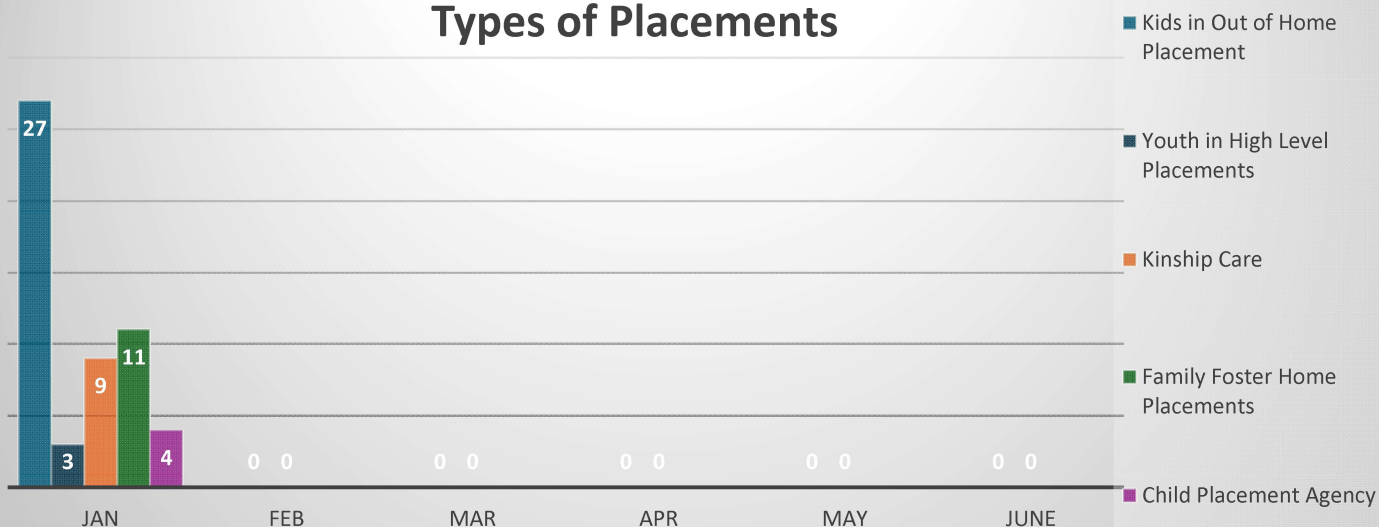


TIMELINESS OF CASE INITIATION



Child Welfare and Adult Protection Services

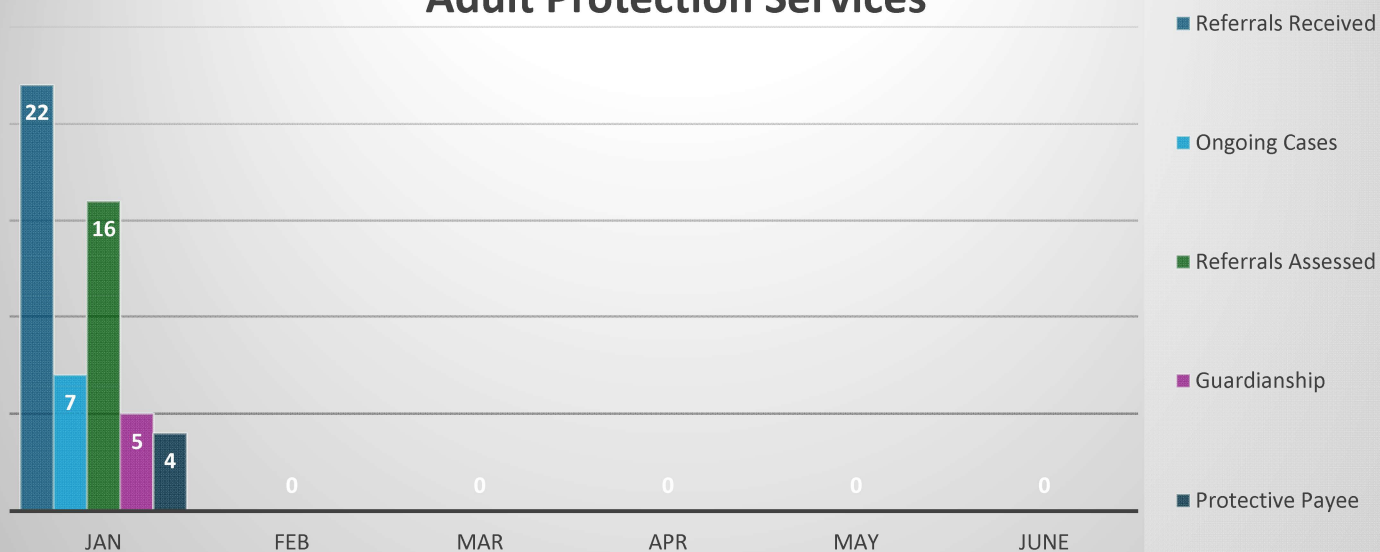
Types of Placements



Child Welfare Referrals Received & Assessed



Adult Protection Services

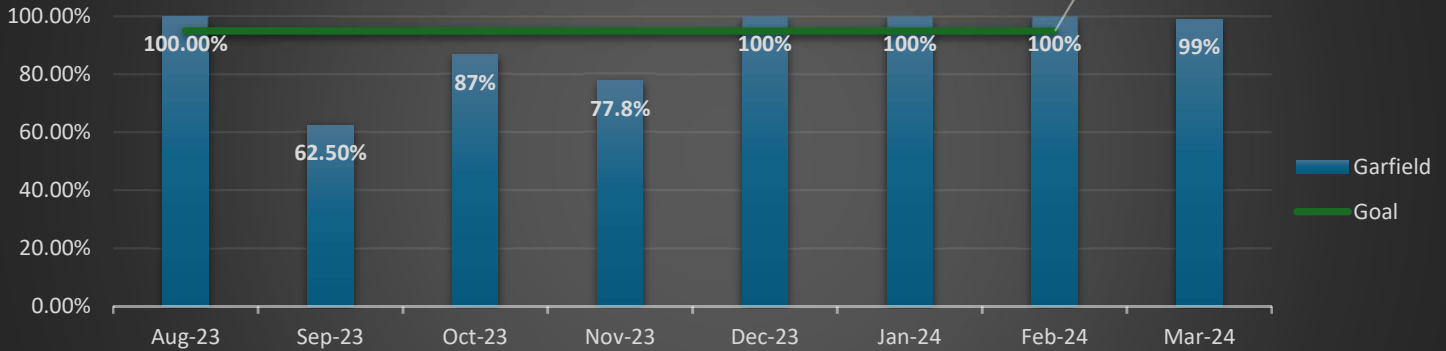


**Note: Guardianship & Protective Payee are subsets within the Ongoing Cases.*

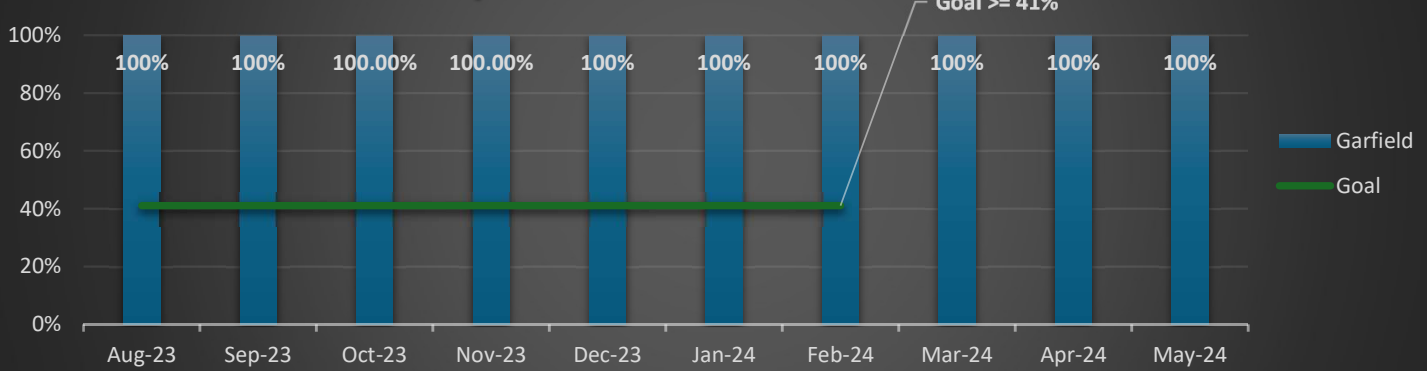
Child Welfare and Adult Protection Services

COUNTY CSTAT MEASURES FOR SAFETY AND WELL BEING

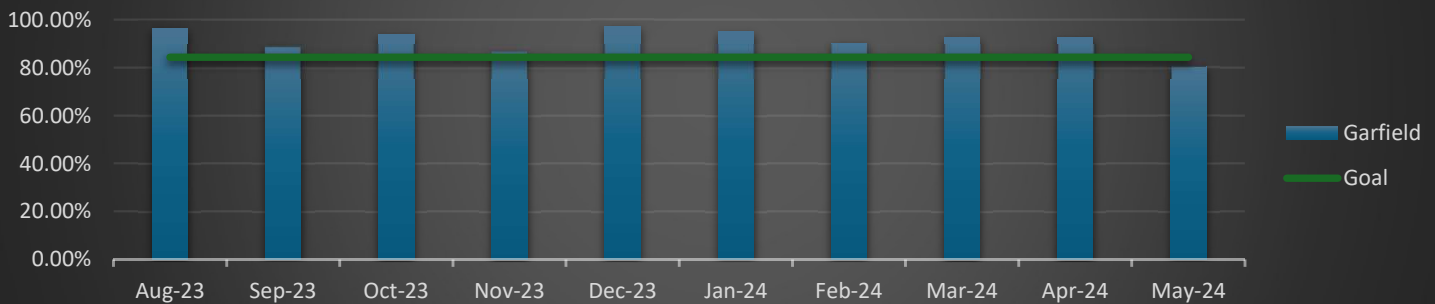
APS Timeliness of Monthly Contacts



DCW Monthly Contacts with Parents in PA-4 Cases



DCW Timeliness of Initial Response to Abuse Neglect Assessments



DCW Safety Assessments Completed 30 Days Prior to Reunification

*Our goal is $\geq 25\%$

*Garfield County has had assessments in January & March of 2023. We were at 100% for both months.

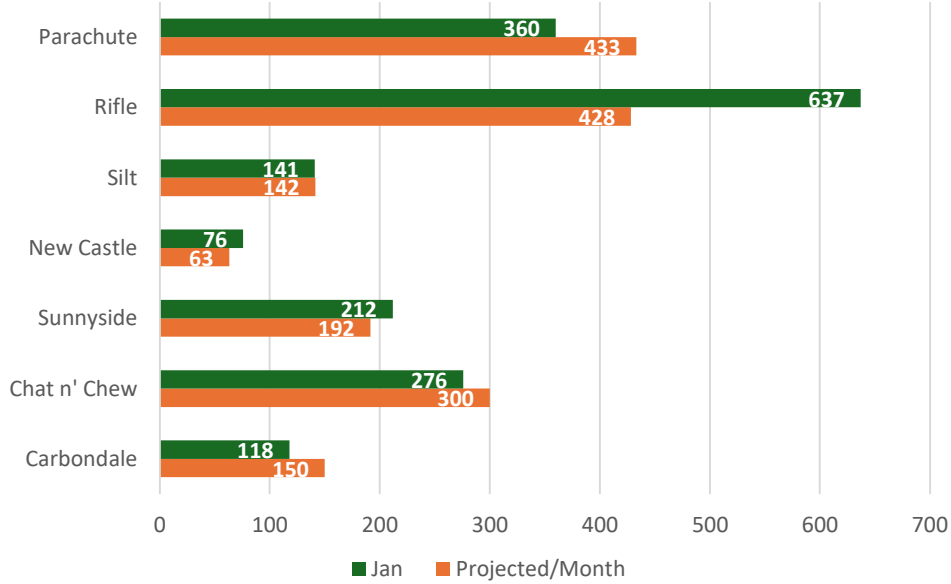
DCW Family Engagement Meetings (FEM) at Reunification

*Our goal is $\geq 66\%$

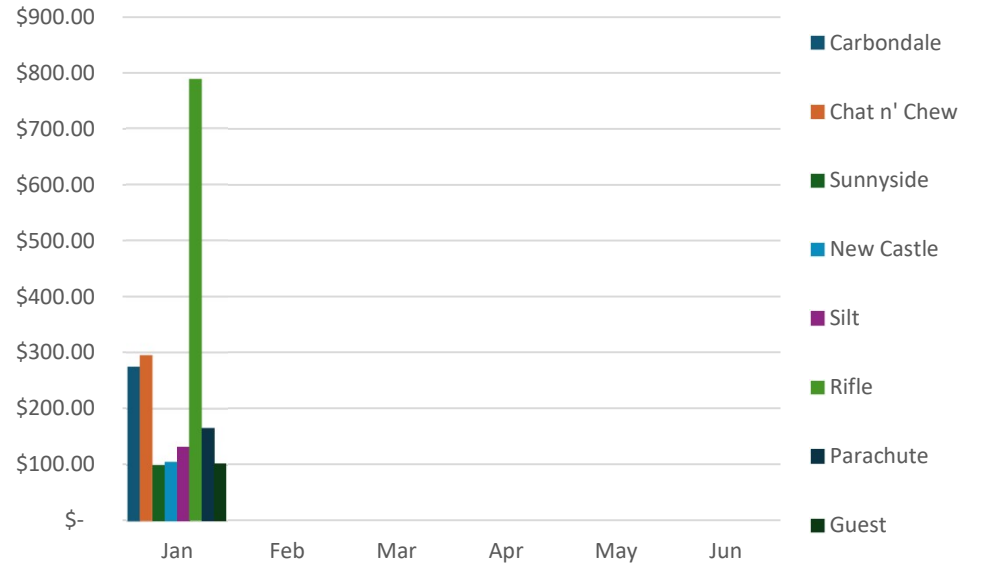
*In 2024 we have averaged 100%.

Older Adult Programs

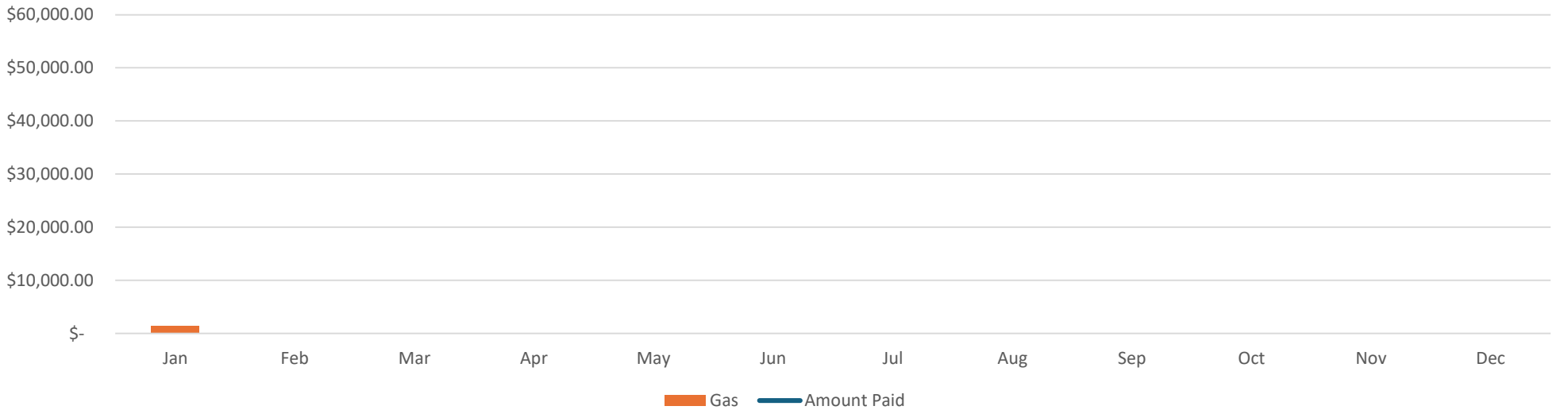
January Meals Served Versus Projected



MONTHLY NUTRITION INCOME COMPARISON



TRAVELER PROGRAM REPORT

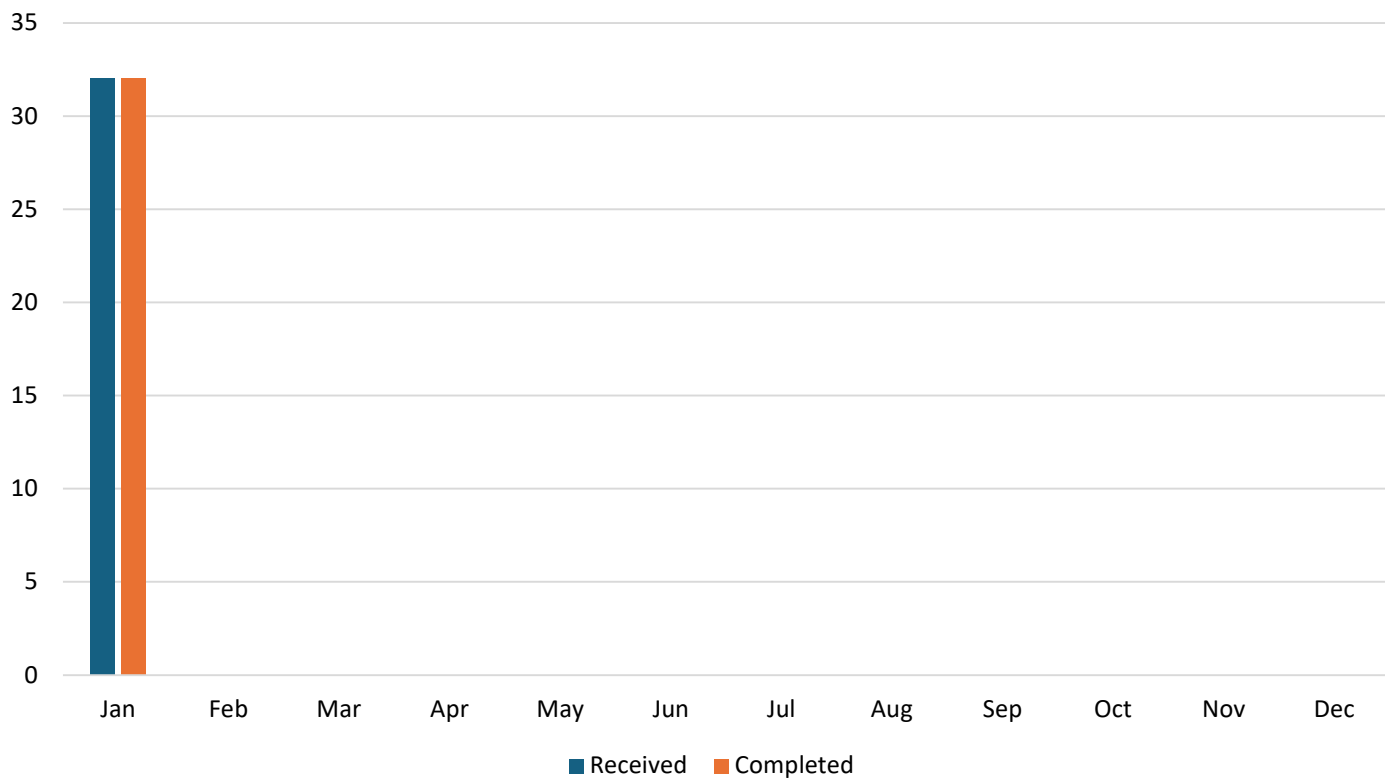


Case Management Agency

Total Client Counts Month/County



Referrals



Attachment 1

Certifications and Assurances

Subaward Number:

Certification Regarding Lobbying (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.214 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.214 and 2 CFR 180.

Audit and Access to Records

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward. Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request. Subrecipient will provide access to records as required by parts 2 CFR 200.332 (a)(5), 200.337, and 200.338 as applicable.

Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Use of Name

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

Attachment 2
Federal Award Terms and Conditions

Subaward Number

Required Data Elements

Awarding Agency Institute (If Applicable)

The data elements required by Uniform Guidance are incorporated

Federal Award Issue Date FAIN Assistance Listing No.

This Subaward Is:

Assistance Listing Program Title (ALPT)

Research & Development

Subject to FFATA

Key Personnel Per NOA

General Terms and Conditions

By signing this Subaward, Subrecipient agrees to the following:

1. To abide by the conditions on activities and restrictions on expenditure of federal funds in appropriations acts that are applicable to this Subaward to the extent those restrictions are pertinent. This includes any recent legislation noted on the Federal Awarding Agency's website:
2. 2 CFR 200
3. The Federal Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at:
4. Applicable Research Terms and Conditions, including any Federal Awarding Agency's Specific Requirements found at:

except for the following :

 - a. No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Contact shown in Attachment 3A, not less than 30 days prior to the desired effective date of the requested change.
 - b. Any payment mechanisms and financial reporting requirements described in the applicable Federal Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
 - c. Any prior approvals are to be sought from the PTE and not the Federal Awarding Agency.
 - d. Title to equipment as defined in 2 CFR 200.1 that is purchased or fabricated with research funds or Subrecipient cost sharing funds, as direct costs of the project or program, shall vest in the Subrecipient subject to the conditions specified in 2 CFR 200.313.
 - e. Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel (defined as listed on the NOA).
5. Treatment of program income:

Special Terms and Conditions:

Data Sharing and Access:

Subrecipient agrees to comply with the Federal Awarding Agency's data sharing and/or access requirements as reflected in the NOA or the Federal Awarding Agency's standard terms and conditions as referenced in General Terms and Conditions 1-4 above.

Data Rights:

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Copyrights:

to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its Federal Award.

Promoting Objectivity in Research (COI):

Subrecipient must designate herein which entity's Financial Conflicts of Interest policy (COI) will apply:

If applying its own COI policy, by execution of this Subaward, Subrecipient certifies that its policy complies with the requirements of the relevant Federal Awarding Agency as identified herein:

Subrecipient shall report any financial conflict of interest to PTE's Administrative Representative or COI contact, as designated on Attachment 3A. Any financial conflicts of interest identified shall, when applicable, subsequently be reported to Federal Awarding Agency. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 days of any subsequently identified COI.

Work Involving Human or Vertebrate Animals (Select Applicable Options)

No Human or Vertebrate Animals

Human Subjects Data (Select One)

Additional Terms

Attachment 3A
Pass-Through Entity (PTE) Contacts

Subaward Number:

PTE Information

Entity Name:

Legal Address:

Website:

PTE Contacts

Central Email:

Principal Investigator Name:

Email:

Telephone Number:

Administrative Contact Name:

Email:

Telephone Number:

COI Contact email (if different to above):

Financial Contact Name:

Email:

Telephone Number:

Email invoices? Yes No Invoice email (if different):

Authorized Official Name:

Email:

Telephone Number:

PI Address:

Administrative Address:

Invoice Address:

Attachment 3B
Subrecipient Contacts

Subaward Number:

Subrecipient Information for [FFATA](#) reporting

Entity's UEI Name:

EIN No.:

Institution Type:

UEI:

Currently registered in SAM.gov: Yes No

Exempt from reporting executive compensation: Yes No *(if no, complete 3Bpg2)*

Parent UEI:

<i>This section for U.S. Entities:</i>	Zip Code Look-up
Congressional District:	Zip Code+4:

Place of Performance Address

Subrecipient Contacts

Central Email:

Website:

Principal Investigator Name:

Email:

Telephone Number:

Administrative Contact Name:

Email:

Telephone Number:

Financial Contact Name:

Email:

Telephone Number:

Invoice Email:

Authorized Official Name:

Email:

Telephone Number:

Legal Address:

Administrative Address:

Payment Address:

Attachment 3B-2
Highest Compensated Officers

Subaward Number:

Subrecipient:

Institution Name:

PI Name:

Highest Compensated Officers

The names and total compensation of the five most highly compensated officers of the entity(ies) must be listed if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue Code of 1986.

Officer 1 Name:

Officer 1 Compensation:

Officer 2 Name:

Officer 2 Compensation:

Officer 3 Name:

Officer 3 Compensation:

Officer 4 Name:

Officer 4 Compensation:

Officer 5 Name:

Officer 5 Compensation:

Attachment 4 Reporting and Prior Approval Terms
--

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A):

Technical Reports:

Monthly technical/progress reports will be submitted to the PTE's _____ within _____ days of the end of the month.

Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's _____.

Annual technical / progress reports will be submitted within _____ days prior to the end of each budget period to the PTE's _____. Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.

A Final technical/progress report will be submitted to the PTE's _____ within _____ days of the end of the Project Period or after termination of this award, whichever comes first.

Technical/progress reports on the project as may be required by PTE's _____ in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.

Prior Approvals:

Carryover:

Other Reports:

In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's _____ within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's _____ within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency.

A negative report is required:

Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.

Additional Technical and Reporting Requirements:

Subaward Number:

Attachment 5
Statement of Work, Cost Sharing, Indirects & Budget

Statement of Work

Below Attached, pages

If award is FFATA eligible and SOW exceeds 4000 characters, include a *Subrecipient Federal Award Project Description*

Budget Information

Indirect Information Indirect Cost Rate (IDC) Applied %	Cost Sharing
Rate Type:	If Yes, include Amount: \$

Budget Details

Below Attached, pages

Budget Totals

Direct Costs \$

Indirect Costs \$

Total Costs \$

All amounts are in United States Dollars

Attachment 6

Notice of Award (NOA) and any additional documents

The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.

Not incorporating the NOA or any additional documentation to this Subaward.



Recipient Information

1. Recipient Name
 THE REGENTS OF THE UNIVERSITY OF COLORADO
 3100 MARINE ST
 STE 481 572 UCB
 BOULDER, CO 80309

2. Congressional District of Recipient
 02

3. Payment System Identifier (ID)
 1846000555E9

4. Employer Identification Number (EIN)
 846000555

5. Data Universal Numbering System (DUNS)
 007431505

6. Recipient's Unique Entity Identifier
 SPVKK1RC2MZ3

7. Project Director or Principal Investigator
 Monica M Fitzgerald , PHD

 monica.fitzgerald@colorado.edu
 303-588-9788

8. Authorized Official
 Karen Roberts
 karen.roberts@colorado.edu
 303-735-6232

Federal Award Information

11. Award Number
 1H79SM087858-01

12. Unique Federal Award Identification Number (FAIN)
 H79SM087858

13. Statutory Authority
 Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended

14. Federal Award Project Title
 The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

15. Assistance Listing Number
 93.243

16. Assistance Listing Program Title
 Substance Abuse and Mental Health Services_Projects of Regional and National Significance

17. Award Action Type
 New Competing

18. Is the Award R&D?
 No

Summary Federal Award Financial Information	
19. Budget Period Start Date	09/30/2024 – End Date 09/29/2025
20. Total Amount of Federal Funds Obligated by this Action	\$599,999
20a. Direct Cost Amount	\$476,190
20b. Indirect Cost Amount	\$123,809
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599,999
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$599,999
<hr/>	
26. Project Period Start Date	09/30/2024 – End Date 09/29/2029
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$599,999

28. Authorized Treatment of Program Income
 Additional Costs

29. Grants Management Officer - Signature
 Eileen Bermudez

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



NCTSI III
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 07/08/2024

Center for Mental Health Services

Award Number: 1H79SM087858-01
FAIN: H79SM087858
Program Director: Monica M Fitzgerald PHD

Project Title: The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

Organization Name: THE REGENTS OF THE UNIVERSITY OF COLORADO

Authorized Official: Karen Roberts

Authorized Official e-mail address: karen.roberts@colorado.edu

Budget Period: 09/30/2024 – 09/29/2025

Project Period: 09/30/2024 – 09/29/2029

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$599,999 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to THE REGENTS OF THE UNIVERSITY OF COLORADO in support of the above referenced project. This award is pursuant to the authority of Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1H79SM087858-01

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$297,038
Fringe Benefits	\$114,828
Travel	\$885
Supplies	\$5,300
Contractual	\$39,081
Other	\$19,058
Direct Cost	\$476,190
Indirect Cost	\$123,809
Approved Budget	\$599,999
Federal Share	\$599,999
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$599,999

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$599,999
2	\$599,999
3	\$600,000
4	\$600,000
5	\$600,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.243
EIN:	1846000555E9
Document Number:	24SM87858A
Fiscal Year:	2024

IC	CAN	Amount
SM	C96J091	\$599,999

IC	CAN	2024	2025	2026	2027	2028
SM	C96J091	\$599,999	\$599,999	\$600,000	\$600,000	\$600,000

SM Administrative Data:

PCC: NCTSI323 / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM087858-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79SM087858-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 1H79SM087858-01

REMARKS

New Award

1. This Notice of Award (NoA) is issued to inform your organization that the application submitted through the funding opportunity *SM-23-010* has been selected for funding.

- o This award reflects approval of the budget submitted *03/27/2023*.
 - o *Review Budget Remarks for additional information about Stipends, and Specials Conditions for additional information about SAM Exclusion and Funding Limitations/Restrictions.*

2. Recipients are expected to plan their work to ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

3. All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions or how to submit a post award amendment request please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

4. All Post-Award Amendments must be submitted in eRA Commons for prior

approval. Please refer to the SAMHSA website for specific SAMHSA guidance on how to submit a post-award amendment in eRA Commons:
<https://www.samhsa.gov/grants/grants-management/post-award-amendments>.

Prior approval is required for, but is not limited to: a change in key personnel and level of effort, a budget revision, a change in scope, a formal carryover request, and a no cost extension. Reference the full prior approval term on the SAMHSA website under Standard Terms and Conditions at:
<https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Technical questions regarding the submission of a post-award amendment in eRA Commons should be directed to the eRA Service Desk: <http://grants.nih.gov/support>

5. Register Program Director/Project Director (PD) in eRA Commons: If you have not already done so, you must register the PD in eRA Commons to assign a Commons ID. Once the PD has received their Commons ID, please send this information to your Grants Management Specialist. You can find additional guidance on Managing eRA User Accounts at
<https://www.samhsa.gov/grants/grants-training-materials/managing-user-accounts>

6. Key Personnel

Key Personnel are listed below:

- o Project Director: Monica Fitzgerald @ 50% level of effort

Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Personnel or otherwise) across all federally funded sources.

Any changes to Key Personnel including level of effort involving separation from the project for any continuous period of three months or longer, or a reduction in time dedicated to the project of 25% or more requires prior approval and must be submitted as a post-award amendment in eRA Commons.

Note: If an organization is awarded a grant and chooses to move forward with hiring an individual for a Key Personnel position before receiving SAMHSA's formal approval, this will be done at the organization's own risk.

For additional information on post-award amendment requirements, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post-award-amendments>.

Budget Remarks

Please note:

1. Consumer Advisory Group Stipend \$7,200 - compensation for attending advisory group meeting is an allowable cost. Ensure that you have an organizational policy specifying what this cost is for, who it can be given to, how it is given out, the reason(s) and how it is being treated consistently across federal grants (Grant Policy Statement - Consumer/Provider Board Participation pg II-33).
2. **The following cost is unallowable. *A revised budget is not required. Funds***

may be repurposed for other allowable, reasonable, allocable, and necessary costs in support of the goals and objectives of the NOFO. The recipient must maintain internal adequate documentation of the budget.

- o Item 1 - Provider/Educator Stipend \$2,000
 - o Stipends (HHS GPS, pg. II-41): Stipends or payments made to individuals are generally unallowable unless they are permitted by a program's statute authorizing or implementing regulations or they are payments made to individuals under a Traineeship, Fellowship, and Similar Award Made to Organizations on Behalf of Individuals.

STANDARD TERMS OF AWARD:

Compliance with Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

SPECIAL TERMS

Disparity Impact Statement (DIS)

By **November 29, 2024**, submit via eRA Commons a completed **Disparity Impact Statement**.

SAMHSA's Behavioral Health Disparity Impact Statement (DIS) is a data-driven, quality improvement approach to advance behavioral health equity for all. The DIS is a grant requirement that helps grantees identify racial, ethnic, sexual, and gender minority groups at the highest risk of experiencing behavioral health disparities within their grant projects and implement a disparity reduction action plan with a quality improvement process to address and close the identified gap(s). The DIS should be consistent with the Population of Focus and Statement of Need identified in the grant application and include the components as described below. Please refer to the DIS worksheet, examples, and other resources on the SAMHSA website at: <https://www.samhsa.gov/grants/grants-management/disparity-impact-statement>

The main components of the DIS are:

- o Identify and describe the behavioral health disparity within the population of focus of the grant project that experience disparate access, use, and outcomes.
- o Provide a demographic table of the proposed number of individuals to be served, reached, or trained in the grant project that covers the entire grant period. Identify the data sources used to support the rationale for how the determination of the disparity was made.
- o Identify the social determinants of health (SDOH) domains and the Culturally and Linguistically Appropriate Services in Health and Health Care ([CLAS](#))

Standards that the grantee organization will work to address and improve for the identified population(s) of focus.

- o Develop a disparity reduction quality improvement action plan to address behavioral health disparities based on the available data on access, use, and outcomes.

In accordance with the reporting requirements outlined in the Notice of Funding Opportunity (NOFO), the grantee is required to provide an update on the project's progress towards addressing quality care of underserved populations related to the Disparity Impact Statement (DIS), barriers encountered, including challenges serving populations of focus, efforts to overcome these barriers; evaluation activities for tracking DIS efforts; and a revised quality improvement plan if the DIS does not meet the quality of care requirements as stated in the DIS.

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions in the Notice of Award.**

SPARS

All SAMHSA grant recipients are required to collect and report certain data so that SAMHSA can meet its obligation under the Government Performance and Results Act (GPRA) Modernization Act of 2010. These data are gathered using SAMHSA's Performance and Accountability Reporting System (SPARS).

National Child Traumatic Stress Initiative Category III recipients are required to collect and report in SAMHSAs Performance and Reporting System (SPARS) two types of data: one data set [Infrastructure Development, Prevention, and Mental Health Promotion (IPP)] are reported on a quarterly basis; the second data set is for the National Outcome Measures (NOMS) and data are collected and reported at baseline (i.e., upon entry of each client into the project), at six month follow-up and at discharge.

National Child Traumatic Stress Initiative Category III recipients are required to do the following:

1. Complete SPARS Annual Goals training and enter IPP and NOMS annual goals data into SPARS by ***December 29, 2024***;
2. Begin collecting and entering IPP data into SPARS in the second quarter (January - March 2025);
3. Begin entering NOMS baseline interview data into SPARS within 7 days after completion of each intake interview;
4. Conduct a NOMS reassessment interview six months following the intake interview and every 6 months thereafter and enter these data into SPARS; and
5. Conduct a Clinical Discharge NOMS interview at the time of client discharge and enter these data into SPARS.

SPARS training and technical assistance will be provided post award.

Risk Assessment

The Office of Financial Advisory Services (OFAS), SAMHSA may perform an administrative review of your organization's financial management systems, policies, procedures and records. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with [45 CFR 75/2 CFR 200](#), as applicable. The restriction will affect your

organization's ability to withdraw funds from the Payment Management System account, until the concerns are addressed.

SPECIAL CONDITIONS

System for Award Management (SAM) Exclusions

By ***October 30, 2024***, submit via eRA Commons.

SAMHSA has conducted a review of one or more of the key staff for this award (Authorized Organization Representative (AOR)), Project Director, Business Official, and Key Personnel identified on the SF-424 or required by the Funding Opportunity Announcement and included in the submitted application. A SAMHSA review of the General Services Administration System for Award Management (SAM) (<http://sam.gov>) has identified individual that is potentially excluded from participation in Federal programs or activities per [2 CFR Part 180](#).

Your organization must review and certify the person identified in the RESPONSE REGARDING POTENTIAL EXCLUDED INDIVIDUAL LETTER. If the individual is the same person, a prior approval request for a change in key personnel must be submitted because excluded individuals are not permitted to be involved with or receive payments under federal grant awards. Work performed by excluded (suspended or debarred) individuals is at the organizations own risk.

Failure to comply with this Special Condition of Award may result in SAMHSA initiating additional actions in accordance with [45 CFR 75.371](#), Remedies for noncompliance.

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.

RESPONSE REGARDING POTENTIAL EXCLUDED INDIVIDUAL LETTER

The response must be provided on your organization's letterhead.

RESPONSE REGARDING POTENTIAL EXCLUDED INDIVIDUAL

Name and role of individual in question: ***KAREN ROBERTS, AOR***

We reviewed the name and address in the SAM exclusions search results and found that the potentially excluded individual identified by SAMHSA (check the appropriate response below):

___ is not the same individual.

___ is the same individual. A prior approval for a change in key personnel will be submitted following the instructions at: <https://www.samhsa.gov/grants/grants-management/post-award-amendments#change-in-key-personnel>.

AOR Print Name/ Title/ Organization

AOR Signature/ Date

SAM Exclusion Search Instructions

1. Navigate to <https://sam.gov/content/exclusions>
2. Click on "**Advanced Search**".
3. Click on the "**Excluded Individual**" drop down arrow.
4. Enter the full name of the individual (including middle name or middle initials) and click the "**Add Individual**" button. The result(s) will display on the right.
5. Click the hyperlinked name of the individual(s) returned in the search results to access more exclusion details for review.
6. If you have a past or current street address for the individual, scroll down to the "**Verify Address**" section and enter the address to see if it is an exact match to either a primary or alternate address.
7. Click the "**Verify**" button and the system will indicate whether a match is found. No Match will be displayed if no match is found.
8. Click the Reset button to clear the address form and other address you may have for the individual and repeat step 8.
9. Complete the "**RESPONSE REGARDING POTENTIAL EXCLUDED INDIVIDUAL**" template on your organization's letterhead and follow the instructions provided to submit the response to as directed.

Funding Limitations/Restrictions

By **October 30, 2024**, submit via eRA Commons:

Completed Funding Limitations/Restrictions Table(s) per the SAMHSA Budget Template, available at <https://www.samhsa.gov/grants/how-to-apply/forms-and-resources>.

As specified in the Notice of Funding Opportunity (NOFO), your budget must reflect the following funding limitations/restrictions:

- o No more than 20 percent of the total award for the budget period may be used for data collection, performance measurement, and performance assessment, including incentives for participating in the required data collection follow-up.

Specifically identify the budget items associated with these costs and provide a summary budget table to demonstrate the budget is in compliance. A separate table should be completed for each funding limitation listed above. For further guidance, refer to SAMHSA's [sample budget PDF](#) for an example of a completed Funding Limitations/Restrictions table (p 12-13).

All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions, please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading *How to Respond to Terms and Conditions*.

STANDARD TERMS AND CONDITIONS

Mid-Year Programmatic Progress Report

By **March 28, 2025**, submit via eRA Commons the **Mid-Year Programmatic Progress Report**.

This Mid-Year report is required for the first year budget period only and must be submitted as a PDF under the ***View Terms Tracking Details*** page in eRA Commons.

The Mid-Year Programmatic Progress Report must, at a minimum, include the following information:

- o Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- o A summary of key program accomplishments to-date.
- o Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- o Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs. Please contact your Government Program Official (GPO) for program specific submission information.

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading *How to Respond to Terms and Conditions*.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Annual Programmatic Progress Report

By **December 28, 2025**, submit via eRA Commons.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of each 12-month budget period/incremental period.

The Annual Programmatic Report must, at a minimum, include the following information:

- o Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- o A summary of key program accomplishments to-date.
- o Description of the changes, if any, that were made to the project that differ

-
- o from the application for this budget period.
 - o Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

The response to this term must be submitted as .pdf documents in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Annual Federal Financial Report (FFR or SF-425)

All financial reporting for recipients of Health and Human Services (HHS) grants and cooperative agreements has been consolidated through a single point of entry, which has been identified as the Payment Management System (PMS). The Federal Financial Report (FFR or SF-425) initiative ensures all financial data is reported consistently through one source; shares reconciled financial data to the HHS grants management systems; assists with the timely financial monitoring and grant closeout; and reduces expired award payments.

The FFR is required on an annual basis no later than **90 days after the end of each Budget Period**. The FFR should reflect cumulative amounts. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

SAMHSA reserves the right to request more frequent submissions of FFRs. If so, the additional submission dates will be shown below.

Your organization is required to submit an FFR for this grant funding as follows:

- o By **December 28, 2025**, submit the Federal Financial Report (FFR)/(SF-425).
- o The grant recipient staff member(s) responsible for FFR preparation, certification and submission of the FFR must either submit a request for New User Access or Update User Access to the FFR Module as applicable. Refer to the PMS User Access website <https://pms.psc.gov/grant-recipients/user-access.html> for information on how to submit a New User Access, Update User Access or Deactivate User Access. You can also view PMS' Video on how to request new user access @ <https://youtu.be/kdoqaXfiuI0> and PDF resource with instructions on Requesting Access @ https://pms.psc.gov/forms/New-User-Request_Grantee.pdf
- o Instructions on **how to submit a FFR via PMS** are available at <https://pmsapp.psc.gov/pms/app/help/ffr/ffr-grantee-instructions.html> (The user must be logged in to PMS to access the link). Updates to the FFR instructions effective 4/1/2022 are also available @ [Page 11 of 13](https://pms.psc.gov/grant-</div><div data-bbox=)

- o While recipients must submit the FFR in PMS, the FFR can also be accessed by connecting seamlessly from the eRA Commons to PMS by clicking the “Manage FFR” link on the “Search for Federal Financial Report (FFR)” page in eRA Commons, which will redirect to PMS. SAMHSA will not accept FFRs submitted by email or uploaded as an attachment into eRA. To access the “Manage FFR” link in eRA Commons, the individual must be registered in eRA Commons and assigned the Financial Status Reporter (FSR) role for their organization. The individual assigned the FSR role is responsible for reporting the statement of grant expenditures for their organization. Refer to the page [Managing eRA User Accounts](#) on SAMHSA's website for instructions on how to assign a the FSR role.

If you have questions about how to set up a PMS account for your organization, please contact the PMS Help Desk at PMSSupport@psc.hhs.gov or 1-877-614-5533.

Note: Recipients will use PMS to report all financial expenditures, as well as to drawdown funds; SAMHSA recipients will continue to use the eRA Commons for all other grant-related matters including submitting progress reports, requesting post-award amendments, and accessing grant documents such as the Notice of Award.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on your Notice of Award. SAMHSA's Terms and Conditions webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Standards for Financial Management

Recipients and subrecipients are required to meet the standards and requirements for financial management systems set forth in 45 CFR part 75 Subpart D. The financial systems must enable the recipient and subrecipient to maintain records that adequately identify the sources of funds for federally assisted activities and the purposes for which the award was used, including authorizations, obligations, unobligated balances, assets, liabilities, outlays or expenditures, and any program income. The system must also enable the recipient and subrecipient to compare actual expenditures or outlays with the approved budget for the award. SAMHSA funds must retain their specific identity – they may not be commingled with non-federal funds or other federal funds. “Commingling funds” typically means depositing or recording funds in a general account without the ability to identify each specific source of funds with related expenditures.

Reasonable Costs for consideration

Recipients must exercise proper stewardship over Federal funds and ensure that costs charged to awards are allowable, allocable, reasonable, necessary, and consistently applied regardless of the source of funds according to “Reasonable Costs” consideration per 2 CFR § 200.404 and the “Factors affecting allowability of costs” per 2 CFR § 200.403. A cost is reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.). If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart F](#), Audit Requirements.

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Heather Kangas, Program Official
Phone: 240-276-0641 **Email:** heather.kangas@samhsa.hhs.gov

Arlene Harris, Grants Specialist
Phone: 240-276-0310 **Email:** Arlene.Harris@samhsa.hhs.gov



Recipient Information

1. Recipient Name
 THE REGENTS OF THE UNIVERSITY OF COLORADO
 3100 MARINE ST
 STE 481 572 UCB
 BOULDER, CO 80309

2. Congressional District of Recipient
 02

3. Payment System Identifier (ID)
 1846000555E9

4. Employer Identification Number (EIN)
 846000555

5. Data Universal Numbering System (DUNS)
 007431505

6. Recipient's Unique Entity Identifier
 SPVKK1RC2MZ3

7. Project Director or Principal Investigator
 Monica M Fitzgerald , PHD

 monica.fitzgerald@colorado.edu
 303-588-9788

8. Authorized Official
 Karen Roberts
 karen.roberts@colorado.edu
 303-735-6232

Federal Award Information

11. Award Number
 1H79SM087858-01 Revision 1 (Change in Terms and Conditions)

12. Unique Federal Award Identification Number (FAIN)
 H79SM087858

13. Statutory Authority
 Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended

14. Federal Award Project Title
 The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

15. Assistance Listing Number
 93.243

16. Assistance Listing Program Title
 Substance Abuse and Mental Health Services_Projects of Regional and National Significance

17. Award Action Type
 New Competing (REVISED)

18. Is the Award R&D?
 No

Summary Federal Award Financial Information	
19. Budget Period Start Date	09/30/2024 – End Date 09/29/2025
20. Total Amount of Federal Funds Obligated by this Action	\$0
20a. Direct Cost Amount	\$0
20b. Indirect Cost Amount	\$0
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599,999
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$599,999
<hr/>	
26. Project Period Start Date	09/30/2024 – End Date 09/29/2029
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$599,999

28. Authorized Treatment of Program Income
 Additional Costs

29. Grants Management Officer - Signature
 Arlene Harris

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



NCTSI III
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 07/25/2024

Center for Mental Health Services

Award Number: 1H79SM087858-01 Revision 1

FAIN: H79SM087858

Program Director: Monica M Fitzgerald PHD

Project Title: The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

Organization Name: THE REGENTS OF THE UNIVERSITY OF COLORADO

Authorized Official: Karen Roberts

Authorized Official e-mail address: karen.roberts@colorado.edu

Budget Period: 09/30/2024 – 09/29/2025

Project Period: 09/30/2024 – 09/29/2029

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to THE REGENTS OF THE UNIVERSITY OF COLORADO in support of the above referenced project. This award is pursuant to the authority of Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Arlene Harris
Grants Management Officer
Division of Grants Management
Arlene.Harris@samhsa.hhs.gov
See additional information below

SECTION I – AWARD DATA – 1H79SM087858-01 REVISED

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$297,038
Fringe Benefits	\$114,828
Travel	\$885
Supplies	\$5,300
Contractual	\$39,081
Other	\$19,058

Direct Cost	\$476,190
Indirect Cost	\$123,809
Approved Budget	\$599,999
Federal Share	\$599,999
Cumulative Prior Awards for this Budget Period	\$599,999

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$599,999
2	\$599,999
3	\$600,000
4	\$600,000
5	\$600,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number:	93.243
EIN:	1846000555E9
Document Number:	24SM87858A
Fiscal Year:	2024

IC	CAN	Amount
SM	C96J091	\$599,999

IC	CAN	2024	2025	2026	2027	2028
SM	C96J091	\$599,999	\$599,999	\$600,000	\$600,000	\$600,000

SM Administrative Data:

PCC: NCTSI323 / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM087858-01 REVISED

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79SM087858-01 REVISED

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 1H79SM087858-01 REVISED

REMARKS

Removal of Special Condition of Award

This award removes the following *Special Condition(s) of Award*:

- System for Award Management (SAM) Exclusions based on the documentation received on **07/21/2024**.

STANDARD TERMS OF AWARD:

Compliance with Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Heather Kangas, Program Official
Phone: 240-276-0641 **Email:** heather.kangas@samhsa.hhs.gov

Arlene Harris, Grants Specialist
Phone: 240-276-0310 **Email:** Arlene.Harris@samhsa.hhs.gov



Recipient Information

1. Recipient Name
 THE REGENTS OF THE UNIVERSITY OF
 COLORADO
 3100 MARINE ST
 STE 481 572 UCB
 BOULDER, CO 80309

2. Congressional District of Recipient
 02

3. Payment System Identifier (ID)
 1846000555E9

4. Employer Identification Number (EIN)
 846000555

5. Data Universal Numbering System (DUNS)
 007431505

6. Recipient's Unique Entity Identifier
 SPVKK1RC2MZ3

7. Project Director or Principal Investigator
 Monica M Fitzgerald , PHD

 monica.fitzgerald@colorado.edu
 303-588-9788

8. Authorized Official
 Karen Roberts
 ocg@colorado.edu
 303-735-6232

Federal Agency Information

9. Awarding Agency Contact Information
 Arlene Harris
 Grants Specialist
 Arlene.Harris@samhsa.hhs.gov
 240-276-0310

10. Program Official Contact Information
 Heather Kangas
 Program Official
 heather.kangas@samhsa.hhs.gov
 240-276-0641

Federal Award Information

11. Award Number
 1H79SM087858-01 Revision 2 (Change in Terms and Conditions)

12. Unique Federal Award Identification Number (FAIN)
 H79SM087858

13. Statutory Authority
 Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended

14. Federal Award Project Title
 The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

15. Assistance Listing Number
 93.243

16. Assistance Listing Program Title
 Substance Abuse and Mental Health Services_Projects of Regional and National Significance

17. Award Action Type
 New Competing (REVISED)

18. Is the Award R&D?
 No

Summary Federal Award Financial Information	
19. Budget Period Start Date	09/30/2024 – End Date 09/29/2025
20. Total Amount of Federal Funds Obligated by this Action	\$0
20a. Direct Cost Amount	\$0
20b. Indirect Cost Amount	\$0
21. Authorized Carryover	
22. Offset	
23. Total Amount of Federal Funds Obligated this budget period	\$599,999
24. Total Approved Cost Sharing or Matching, where applicable	\$0
25. Total Federal and Non-Federal Approved this Budget Period	\$599,999
<hr/>	
26. Project Period Start Date	09/30/2024 – End Date 09/29/2029
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$599,999

28. Authorized Treatment of Program Income
 Additional Costs

29. Grants Management Officer - Signature
 Arlene Harris

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



NCTSI III
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 11/29/2024

Center for Mental Health Services

Award Number: 1H79SM087858-01 Revision 2

FAIN: H79SM087858

Program Director: Monica M Fitzgerald PHD

Project Title: The Community Collective for Trauma-Informed, Evidence-Based Prevention and Treatment Services

Organization Name: THE REGENTS OF THE UNIVERSITY OF COLORADO

Authorized Official: Karen Roberts

Authorized Official e-mail address: ocg@colorado.edu

Budget Period: 09/30/2024 – 09/29/2025

Project Period: 09/30/2024 – 09/29/2029

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to THE REGENTS OF THE UNIVERSITY OF COLORADO in support of the above referenced project. This award is pursuant to the authority of Section 582 (42 U.S.C. §290hh-1) of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Arlene Harris
Grants Management Officer
Division of Grants Management
Arlene.Harris@samhsa.hhs.gov
See additional information below

SECTION I – AWARD DATA – 1H79SM087858-01 REVISED

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$297,038
Fringe Benefits	\$114,828
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Supplies	\$5,300
Contractual	\$39,081
Other	\$19,058
Direct Cost	\$476,190
Indirect Cost	\$123,809
Approved Budget	\$599,999
Federal Share	\$599,999
Cumulative Prior Awards for this Budget Period	\$599,999

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$599,999
2	\$599,999
3	\$600,000
4	\$600,000
5	\$600,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
 EIN: 1846000555E9
 Document Number: 24SM87858A
 Fiscal Year: 2024

IC	CAN	Amount
SM	C96J091	\$599,999

IC	CAN	2024	2025	2026	2027	2028
SM	C96J091	\$599,999	\$599,999	\$600,000	\$600,000	\$600,000

SM Administrative Data:

PCC: NCTSI323 / OC: 4145

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SECTION III – TERMS AND CONDITIONS – 1H79SM087858-01 REVISED

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
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- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

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In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 1H79SM087858-01 REVISED

REMARKS

Removal of Special Condition of Award

This Notice of Award removes the following *Special Condition(s) of Award*:

- o Funding Limitations/Restrictions due on 10/30/2024, based on the documentation submitted on 10/30/2024.

STANDARD TERMS OF AWARD

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Heather Kangas, Program Official
Phone: 240-276-0641 **Email:** heather.kangas@samhsa.hhs.gov

Arlene Harris, Grants Specialist
Phone: 240-276-0310 **Email:** Arlene.Harris@samhsa.hhs.gov



SCOPE OF SERVICE

Garfield County SAMHSA Category III Project:
Years: December 30, 2024 – September 30, 2029

Garfield County will engage as a site for the University of Colorado's SAMHSA Category III Project and provide facilitation of the following components:

Assessment of Community Needs and Ongoing Community Engagement

Ongoing Needs Assessment

- Identifying strengths, challenges, gaps. An initial will be completed through dialogue with the Garfield County Collaborative Management Project (CMP) and will commence in January 2025 and be completed by March 1, 2025.
- Identifying what would be helpful and the best first step (e.g., LC, Schools, etc.)
- Meeting with community partners, attending meetings. Listening sessions and web-based surveys to learn about needs, strengths, and gaps from community members
- Provide input to and collaborate with River Bridge Regional Center's Community Needs Assessment and development of a Child Abuse Prevention Program.
- **CMP Partners to be engaged:**
 - Roaring Fork School District RE-1 <https://www.rfsd.k12.co.us/en-US>
 - Garfield School District RE-2 <https://www.garfieldre2.net/>
 - Garfield County School District 16 <https://www.garfield16.org/>
 - Garfield County Public Health <https://www.garfield-county.com/public-health/>
 - Mind Spring Health https://www.mindspringshealth.org/glenwood-springs/?utm_source=google&utm_medium=organic&utm_campaign=localglenwood
 - Rocky Mountain Health Plans (as RAE and MSO) <https://www.rmhp.org/>
 - 9th Judicial District https://www.courts.state.co.us/Courts/District/Index.cfm?District_ID=9
 - Advocate Safehouse Project <https://www.advocatesafehouseproject.org/>
 - 9th Judicial District Probation Department <https://www.coloradojudicial.gov/courts/trial-courts/garfield-county/garfield-county-probation>
 - Division of Youth Services <https://cdhs.colorado.gov/dys>
 - Youth Zone <https://youthzone.com/>

- *Colorado Youth Detention Continuum Coordinator* <https://youthzone.com/wp-content/uploads/2021/07/CYDC-Coordinator-July-2021.english.pdf>
- *Stepping Stones of the Roaring Fork Valley* <https://steppingstonesrfv.org/>
- *Great Expectations* <https://greatexpectations.co/>
- *River Bridge Regional Center* <https://www.riverbridgerc.org/>
- *Aspen Hope Center* <https://www.aspenhopecenter.org/>
- *Mountain Family Health Centers* <https://mountainfamily.org/>

Beginning March 1, 2025, Garfield County will engage with community responding to feedback with opportunities to supporting brokers of service in learning about the available Learning the Trauma Informed Evidenced Based Programs/Practices (TI-EBPS). The following opportunities will be facilitated by Garfield County DHS:

Learning the Trauma Informed Evidenced Based Programs/Practices (TI-EBPS)

Let's Connect® (LC)

- GCDHS Staff receives live training by CU faculty in LC.
 - GCDHS Staff implements LC with families using fidelity tools and receiving weekly consultation by CU faculty.
 - GCDHS Staff attends clinical LC meeting – LC case presentations.
- GCDHS Staff facilitates CU faculty scheduling, coordinating, and providing free NCTSN sponsored LC training including follow-up consultation for providers in Garfield County providers no less than three times during the grant.

Trauma Focused Cognitive Behavioral Therapy (TF-CBT)

- After competing Train-the-Trainer TF-CBT training, GCDHS Staff will co-train with CU Faculty for professional development with CU support.
- Provide free NCTSN sponsored TF-CBT training and follow-up consultation annually to Garfield County providers.

Alternatives for Families Cognitive Behavioral Therapy (AF-CBT)

- GCDHS Staff facilitates CU faculty scheduling, coordinating, and providing free AF-CBT training including follow-up consultation for providers in Garfield County providers no less than three times during the grant.

Schools: Resilience in Schools + Educators (RISE) + Calm & Connected Toolkit (CCT)

- Fulfill the completion requirements Garfield County School district choose to receive RISE training and implement RISE in Garfield County schools.
- GCDHS Staff will receive CU training in RISE professional development components as needed for the project.

- GCDHS Staff facilitates CU faculty scheduling, coordinating, and providing free RISE training including follow-up consultation for providers in Garfield County providers no less than three times during the grant.
- GCDHS Staff facilitates CU faculty scheduling, coordinating, and providing free Calm And Connected including follow-up consultation for providers in Garfield County providers no less than three times during the grant.

*Timelines and frequency of core activities will be determined or amended in writing to CU quarterly based on community needs.

Evaluation

- GCDHS will collaborate with CU Evaluation team to collect assessments and feedback about impact
- GCDHS will track community meetings, engagement activities (meetings with partners), trainings, consultations provided
- Qualitative and Quantitative Evaluation, as determined by CU for family and provider engagement.

University of Colorado will:

- CU will provide reimbursement for 50 days of work in calendar year 2025. Additional time may be allocated for grant years 2026-2029 dependent on GCDHS capacity.
- CU will provide training, consultation and program required follow-up at no cost to GCDHS, school staff, and providers as described above for the following:
 - Let's Connect
 - TF-CBT (Garfield County will also have a trainer)
 - AF-CBT
 - RISE
 - Calm and Connected Toolkit

Deliverables

- Garfield County will provide quarterly written reports beginning April 1, 2025 or as directed by CU program staff.
- Reports will detail activities toward achieving the goals.

Garfield County DHS Project Budget

	12/30/24 - 9/30/25	10/1/25 - 9/30/26	10/1/26 - 9/30/27	10/1/27 - 9/30/28	10/1/28 - 9/30/29
a. Salaries and Wages					
\$44.0866/hr, increase 3% annually	13,354.38	18,890.23	19,456.93	20,040.65	20,641.85
Total Salaries and Wages	\$ 13,354.38	\$ 18,890.23	\$ 19,456.93	\$ 20,040.65	\$ 20,641.85
b. Fringe 57%	7,627.81	10,767.43	11,090.45	11,423.17	11,765.86
Total Salaries and Fringe	\$ 20,982.19	\$ 29,657.66	\$ 30,547.38	\$ 31,463.82	\$ 32,407.71
c. Travel	-	1,260.00	1,260.00	1,260.00	1,260.00
d. Equipment	-				
e. Supplies	-	100.00	100.00	100.00	100.00
f. Other Direct Costs					
Total Other Direct Costs	\$ -	\$ -	\$ -	\$ -	\$ -
g. total Direct Costs	20,982.19	31,017.66	31,907.38	32,823.82	33,767.71
h. Indirect Costs	2,098.22	3,101.77	3,190.74	3,282.38	3,376.77
i. Total Costs	23,080.41	34,119.43	35,098.12	36,106.20	37,144.48

BUSINESS 3D

COMMISSIONER AGENDA ITEM REQUEST

Proposed Commissioner Meeting Date: January 28, 2025

Pre-Meeting Date: NA

Department: Human Services

Staff Contact: Carmen McKay

Type:

Consent Agenda

Business Item

Public Hearing

Title of Requested Item for the Agenda: Consideration of the Amended Intergovernmental Agreement with Garfield County for the provision of child support services. Was Amended to have the correct year on the date, and updated names of signees.

Requested Commissioner Action: Approval

Documents Attached

Documents Emailed Separately

**INTERGOVERNMENTAL AGREEMENT
FOR THE PROVISION OF CHILD SUPPORT SERVICES**

This Intergovernmental Agreement ("Agreement") is made by and between the Board of County Commissioners of Rio Blanco County, on behalf of the Rio Blanco County Department of Human Services ("Rio Blanco County County"), and the Board of County Commissioners of Garfield County Colorado, on behalf of the Garfield County Department of Human Services {"Garfield County"}, effective January 1, 2025 no matter the date of execution by the parties.

WHEREAS, under Part D of Title IV of the Social Security Act and the related administrative requirements ("IV-D"), Rio Blanco County has been delegated responsibility for the development and implementation of a program to secure support and establish paternity for any person(s) eligible for IV-D services within the meaning of federal regulations; and

WHEREAS, Rio Blanco County desires to enter into an agreement with Garfield County to assist Rio Blanco County in providing the services necessary to establish paternity, establish support obligations, and secure support for any person(s) eligible for IV-D services within the meaning of federal regulations; and

WHEREAS, Garfield County desires to enter into an agreement with Rio Blanco County to assist in child support enforcement matters of common concern to the parties.

NOW, THEREFORE, for and in consideration of the mutual covenants and agreements set forth herein, the parties agree as follows:

1. **Incorporation and Confirmation of Recitals.** The foregoing recitals are incorporated as part of this IGA.

2. **Purpose.** Garfield County shall provide services as identified in the Scope of Work attached hereto and incorporated herein as **Exhibit A** (the "Scope of Services").

3. **Term.** This Agreement shall be for the period beginning January 1, 2025 and ending December 31, 2025.

4. Responsibilities and Costs.

a. **Rio Blanco County Responsibilities.** Rio Blanco County shall reimburse Garfield County in an amount not to exceed \$40,000,, as set out on **Exhibit B** attached hereto and incorporated herein, its allowable costs for the services provided under this Agreement from combined county and federal funds during the term of this Agreement. Rio Blanco County will remit to Garfield County any and all child support incentives received for child support service activities conducted during the term of this Agreement.

b. **Garfield County Responsibilities.** Garfield County shall submit a detailed signed and itemized invoice to Rio Blanco County monthly for all costs incurred pursuant to this Agreement prior to the fifteenth

(15th) day of the month following the month the costs were

incurred. Payments will be made to Garfield County monthly. Rio Blanco County shall not be billed for, and reimbursement shall not be made for, time involved in activities outside of those listed in the Scope of Services.

5. Confidentiality. Garfield County shall protect the confidentiality of all records and other materials containing personal identifying information that are maintained in accordance with this Agreement pursuant to applicable state and federal laws and regulations. Except as provided by law, no information, including identifying information, in possession of Garfield County about any individual shall be disclosed in any form.

6. **Insurance and Licenses**. Garfield County shall maintain all applicable insurance and licenses required by law to carry out the purpose of this Agreement.

7. **Illegal Aliens Public Contract for Services**. Since this is a public contract for services as defined by C.R.S. § 8-17.5-101(6)

(a), by executing this Agreement Garfield County hereby certifies and agrees that it: (a) does not and will not knowingly employ or contract with an illegal alien to perform work under this Agreement;

(b) has not and will not enter into a contract with a subcontractor that fails to certify that it will not knowingly employ or contract with an illegal alien to perform work under this Agreement;

(c) has confirmed the employment eligibility of all employees who are newly hired for employment to perform work under this Agreement through participation in either the electronic employment verification program created in Public Law 104-208, as amended, and expanded in Public Law 108-156, as amended, and jointly administered by the United States Department of Homeland Security and the Social Security Administration, or its successor program (the "E-Verify Program") or the employment verification program established by the Department of Labor and Employment pursuant to

C.R.S. § 8-17.5-102(5)(c) (the "Department Program");

(d) will not use either the E-Verify Program or the Department Program procedures to conduct pre-employment screening of job applicants while this Agreement is in effect;

(e) is required, upon actual knowledge that a subcontractor performing work under this Agreement knowingly employs or contracts with an illegal alien, to:

(1) so notify the subcontractor and Rio Blanco County within three (3) days; and

(2) terminate the subcontract if within three (3) days of notice the subcontractor does not stop employing or contracting with the illegal alien or provide information to establish that the subcontractor did not knowingly employ or contract with an illegal alien;

(f) agrees to comply with any reasonable request by the Department of Labor and Employment made in the course of an investigation undertaken pursuant to its authority under C.R.S. § 8-17.5-102(5) (a); and

(g) acknowledges that if Garfield County violates any provision of this paragraph, Rio Blanco County may terminate this Agreement and Garfield County shall be

liable for all actual and consequential damages to Rio Blanco County as required by law.

8. Records, Reports and Information. At such times and in such forms as Rio Blanco County and the federal and state Departments of Human Services may require, Garfield County shall furnish statements, records, reports, data and other information pertaining to matters covered by this Agreement. Garfield County shall maintain its records in accordance

with any requirements prescribed by Rio Blanco County. Except as otherwise authorized, Garfield County shall maintain such records for a period of three (3) plus the current year after receipt of final payment under this Contract.

9. Audits and Inspections. At any time during normal business hours and as often as Rio Blanco County may deem necessary, Garfield County shall make its records with respect to matters covered by this Agreement available for examination. Garfield County shall permit Rio Blanco County to audit, examine, and make excerpts from such records and audit all data relating to this Contract. Rio Blanco County may call for a certified, independent audit to be performed, at Garfield's expense, by a mutually agreed upon auditor.

10. Performance Standards, Independent Contractor. The parties agree that all IV-D functions are to be performed in accordance with the approved state plan and all relevant federal and state legislation and regulations. These standards are agreed upon expectations and are not to be construed as control and direction from Rio Blanco County but, rather, as an understanding of the anticipated performance of Garfield County. Garfield County is solely responsible for the conduct of individuals employed by and under its direct supervision or control.

11. Federal Tax Information.

A. . In performance of this Agreement, Garfield County agrees to comply with and assume responsibility for compliance by its employees with the following requirements:

1. All work will be done under the supervision of Garfield County or its employees.
2. Any Federal tax return or return information made available in any format shall be used only **for the** purpose of carrying out the provisions of this Agreement. Information contained in such material will be treated as confidential and will not be divulged or made known in any manner to any person except as may be necessary in the performance of this Agreement. Disclosure to anyone other than an officer or employee of Garfield County will be prohibited.
3. All returns and return information will be accounted for upon receipt and properly stored before, during, and after processing. In addition, all related output will be given the same level of protection as required for the source material.
4. Garfield County certifies that the data processed during the term of this Agreement will be completely purged from all data storage components of their applicable computer facility, and no output will be retained by Garfield County at the time the work is

completed. If immediate purging of all data storage components is not possible, Garfield County certifies that any IRS data remaining in any storage component will be safeguarded to prevent unauthorized disclosures.

5. Any spoilage or any intermediate hard copy printout that may result during the processing of IRS data will be given to the agency or its designee. When this is not possible, Garfield County will be responsible for the destruction of the spoilage or any intermediate hard copy printouts and will provide the agency or his or her designee with a statement containing the date of destruction, description of material destroyed, and the method used.
6. All computer systems processing, storing, or transmitting Federal tax information must meet ISO STD 15408, called common criteria -functional (Protection Profile) and assurance (EAL). To meet functional and assurance requirements, the operating security features of the system must have the following minimum requirements: a security policy, accountability, assurance, and documentation. All security features must be available and activated to protect against unauthorized use of and access to Federal tax information.
7. No work involving Federal tax information furnished under this Agreement will be subcontracted without prior written approval of the IRS.
8. Garfield County will maintain a list of employees' authorized access. Such a list will be provided to the agency and, upon request, to the IRS reviewing office.
9. The agency will have the right to void the Agreement if Garfield County fails to provide the safeguards described above.

B. Criminal/Civil Sanctions

1. Each officer or employee or any person to whom returns or return information is or may be disclosed will be notified in writing by such person that returns or return information disclosed to such officer or employee can be used only for a purpose and to the extent authorized herein, and that further disclosure of any such returns or return information for a purpose or to an extent unauthorized herein constitutes a felony punishable upon conviction by a fine of as much as \$5,000 or imprisonment for as long as 5 years, or both, together with the costs of prosecution. Such person shall also notify each such officer and employee that any such unauthorized further disclosure of returns or return information may also result in an award of civil damages against the officer or employee in an amount not less than \$1,000 with respect to each instance of unauthorized disclosure. These penalties are prescribed by IRC sections 7213 and 7431 and set forth at 26 CFR 301.6103(n)-1.
2. Each officer or employee or any person to whom returns or return information is or may be disclosed shall be notified in writing by such person that any return or return information made available in any format shall be used only for the purpose of carrying out the provisions of this Agreement. Information contained in such material shall be treated as confidential and shall not be divulged or made known in any manner to any person except as may be necessary in the performance of the Agreement. Inspection by or

disclosure to anyone without an official need to know constitutes a criminal misdemeanor punishable upon conviction by a fine of as much as \$1,000 or imprisonment for as long as 1 year, or both, together with the costs of prosecution. Such person shall also notify each such officer and employee that any such unauthorized inspection or disclosure of returns or return information may also result in an award of civil damages against the officer or employee [United States for federal employees] in an amount equal to the sum of the greater of \$1,000 for each act of unauthorized inspection or disclosure with respect to which such defendant is found liable or the sum of the actual damages sustained by the plaintiff as a result of such unauthorized inspection or disclosure plus in the case of a willful inspection or disclosure which is the result of gross negligence, punitive damages, plus the costs of the action. These penalties are prescribed by IRC section 7213A and 7431.

3. Additionally, it is incumbent upon Garfield County to inform its officers and employees of the penalties for improper disclosure imposed by the Privacy Act of 1974, 5 U.S.C. 552a. Specifically, 5 U.S.C. 552a(i)(1), which is made applicable to Contractors by 5 U.S.C. 552a(m)(1), provides that any officer or employee of a contractor, who by virtue of his/her employment or official position, has possession of or access to agency records which contain individually identifiable information, the disclosure of which is prohibited by the Privacy Act or regulations established hereunder, and who knowing that disclosure of the specific material is prohibited, willfully discloses the material in any manner to any person or agency not entitled to receive it, shall be guilty of a misdemeanor and fined not more than \$5,000.

C. Inspection

1. The IRS and Rio Blanco County shall have the right to send its officers and employees into the offices and plants of Garfield County for inspection of the facilities and operations provided for the performance of any work under this Agreement. On the basis of such inspection, specific measures may be required in cases where Garfield County is found to be noncompliant with contract safeguards.

12. No Joint Venture. Nothing in this Agreement shall be construed to create a joint venture, partnership, employer/employee or other relationship between the parties other than independent contracting parties. Except as permitted under the remedies provision, no party shall have the express or implied right to act for, on behalf of, or in the name of the other party. Nothing in this Agreement shall irrevocably commit or bind any party individually or the parties collectively.

13. Subcontract, Assignment, and No Third-Party Beneficiaries. Garfield County shall not subcontract with another individual or entity for performance of the services described in Section 1, above, and shall not assign or transfer any rights in this Agreement without the prior written consent of Rio Blanco County, unless authorized to subcontract for specific services as set forth on Attachment A. The work subcontracted shall be specified in a written agreement between Garfield County and its subcontractor(s),

which agreement(s) shall be subject to each provision of this Agreement. Enforcement of the terms and conditions of this Agreement and all rights of action relating thereto are strictly reserved to Rio Blanco County and Garfield County and nothing contained in this Agreement shall give or allow any such right of action to any third party.

14. Indemnification. To the extent permitted by law and to the extent that statutory protection described herein are insufficient to respond to a claim or suit for damages, the parties agree to indemnify, defend and hold harmless each other and each parties' officers, officials, agents, and employees against loss or expense by reason of any claim or suit for damages arising under this Agreement, except that the parties shall not be liable **if** a claim or suite arises from the negligence and willful acts of another party, its officers, officials, agents, employees, successors, or assigns.

15. Governmental Immunity. The parties agree and understand **that** both parties are relying on and do not waive, by any provision of this Agreement, the monetary limitations or terms or any other rights, immunities and protections provided by the Colorado Governmental Immunity Act, C.R.S. § 24-10-101, et seq.

16. Termination for Convenience or for Cause. This Agreement may be terminated with or without cause by either party upon written notice of at least thirty (30) days, and if the termination is for cause the notice shall cite the reason(s) for termination. Upon such termination, Garfield County shall furnish to Rio Blanco County, as property of Rio Blanco County, all property, records and pertinent information which Rio Blanco County provided under this Agreement. Upon receipt of said items, Rio Blanco County shall pay Garfield County for actual costs incurred through the date of termination.

17. Compliance with Laws and Regulations. Garfield County agrees that all work performed under this Agreement shall comply with and abide by all applicable laws, ordinances, codes and regulations of the federal, state, and local governments applicable to this Agreement. Without limiting the generality of this Section, Garfield County (1) shall maintain client confidentiality, including confidentiality of records, in accordance with state and federal law, rules and regulations; and (2) shall not discriminate against any person on the basis of race, color, national origin, religion, sex, age, political beliefs or handicap.

18. Notices. Notices to be provided under this Agreement shall be given in writing either by hand delivery or by certified mail, return receipt requested United States mail, or by electronic communication, to the following:

County

Rio Blanco County
Carmen McKay, Director
Department of Human Services
200 Main Street, Suite 200
Meeker, CO 81641

Contractor

Garfield County
Sharon Longhurst-Pritt, Director
Department of Human Services
195 W. 14th Street
Rifle, CO 81650

This agreement shall remain in effect until terminated by either party. This agreement may be terminated by either party upon sixty (60) days written notice to the other below named party, providing that any students then enrolled in practicum experiences may complete those experiences. Any modification to this Agreement must be in writing and signed by the parties' duly authorized representatives

ATTACHMENT A

Scope of Services

The Contractor shall provide the following services:

1. Paternity and child support will be established using the appropriate methods on all eligible cases, Enforcement actions will be taken according to the status of the case. Computer system will be maintained with the current information and reports will be worked on each month. Cases will be worked with the goal of meeting all the performance goals that are established by the State; Paternity percent percentage; Percent of current support paid; percent of IV-D cases paying on arrears; medical support and percent of IV-D cases with support orders. Training of personnel will occur as required by the State.
2. It is specifically understood that the Contractor uses the services of the Rio Blanco County Attorney's Office to represent the People of the State of Colorado/ the Contractor in paternity and child support enforcement judicial cases. The parties understand and agree that the Department will also be represented by the Rio Blanco County Attorney's Office under the terms of this agreement.


Attachment B

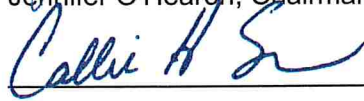
Fee Schedule

FOR PERIOD OF JANUARY 1,2025 THROUGH DECEMBER 31,2025

1. Cost per service- Actual Cost
2. Type of service: Personnel
3. Other: Expenses for mail, process service, genetic test, scanning, travel and training
4. **TOTAL PAYMENT NOT TO EXCEED \$40,000.00**

Board of County Commissioners
Rio Blanco County, Colorado


Jennifer O'Hearon, Chairman


Callie Scritchfield, Commissioner

Callie Scritchfield, Commissioner


Doug Overton, Commissioner

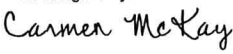
Garfield County Commissioners
Rio Blanco County, Colorado
108 8th Street, Suite 213
Glenwood Spring , Co 81601

Tom Jankovsky, Chairman

Perry Will, Member

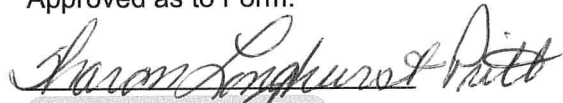
Mike Samson, Member

Approved as to Form

DocuSigned by:

45F390745526424...

Carmen McKay-Director
Director of Human Services

Approved as to Form:


Sharon Longhurst-Pritt

Garfield County- Department of Human
Services